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Adelaide Graduate Centre
Level 2, Schulz Building
The University of Adelaide
SA 5005
AUSTRALIA



THE UNIVERSITY
of ADELAIDE

Major Review of Progress for Doctoral Programs

Division of the Deputy Vice-Chancellor and Vice-President (Research)



Please do not bind or staple this form or any attachments. Secure papers together with a bulldog clip

NOTE: *When using the editable PDF version of this document, for best results, you are advised to open, edit and save using Adobe Acrobat Reader only.*

Research students are provisional in candidature for at least the first twelve months (or half-time equivalent), after which a comprehensive "Major Review of Progress" is undertaken by the Candidature Confirmation Review Committee, to determine the capacity of the student to complete their research and submit a quality thesis for examination within three to three and a half years. The Major Review process is designed to assess the ability of the student to complete their program in a timely fashion, as well to ascertain how best the School/University can support them in this goal. The Review Committee can recommend confirmation of candidature, extension of provisional candidature, termination of candidature or downgrade from a Doctorate to a Masters.

Preparing for the Major Review of Progress

1. *The student must complete the personal details section (top of page 3 below) and a one-page written progress report and, for PhD students commencing from 2017, a reflection on CaRST (using Section A below). The student must leave the remainder of the form blank and submit the form to his/her principal supervisor three weeks before his/her major review.*
2. *The supervisor will prepare a short report (using Section B below) clearly highlighting the strengths and weaknesses of the candidate and the candidate's progress and will leave the remainder of the form blank. The supervisor will circulate the form to all committee members prior to the major review meeting date. An exemplar is available in the Major Review - Lessons Learnt and Best Practice:*

<http://www.adelaide.edu.au/graduatecentre/staff/candidature-problems/major-review-lessons-learnt/>
3. *The remainder of the form is completed and signed by all relevant parties. The completed form is then submitted to the Graduate Centre.*

Candidature Confirmation Review Committee

The Candidature Confirmation Review Committee will comprise at a minimum:

1. *All supervisors of the candidate (input from external supervisors is also required); and*
2. *One or more senior members of the School's academic staff (preferably including the Postgraduate Coordinator).*

In addition, it is recommended that at least one Discipline expert is a member of the Committee.

The Chair of the Committee will normally be the Postgraduate Coordinator. Note however, that the Chair of the Committee MUST NOT be a supervisor and therefore, if the Postgraduate Coordinator is also a supervisor, another senior member of the School's academic staff should be appointed as the Chair. Where the Head of School/Discipline is appointed to the Chair, another senior member of academic staff of the School, who is not a supervisor should sign in the capacity of the Head of School/Discipline. This is to ensure the impartiality of the progress assessment.

Guidelines for the Candidature Confirmation Review Committee

The Committee's role is to:

- Assess the candidate's research progress against both the quality and quantity of work required for completion within the required timeframe for the degree and provide them with constructive feedback;
- Assess the candidate's progress towards completing the Career and Research Skills Training (CaRST) requirements of their program (for PhD students who commenced from 2017 only);
- Identify and document any problems or issues likely to affect the ability of the candidate to complete within the required timeframe of the degree and indicate where these are beyond the student's control;
- Discuss the student's relationships with their supervisor(s) to determine whether their professional compatibility is sufficient to facilitate completion of the degree within the required timeframe;
- Provide one of the following recommendations to the Research Education and Development Committee (REDC):

1. Confirm Candidature on the grounds that:

a) Completion is expected within the minimum time-frame for the degree (two – three years); or

b) Completion is expected within the maximum time-frame for the degree (three – four years).

2. Extend Provisional Status

Where a committee is unsure of a candidate's potential for success but wishes to give them the benefit of the doubt, the best procedure is to extend provisional candidature for a period of 3/4/5 months, before final review.

3. Transfer of Program

The candidate should be directed to undertake a Master degree, not a PhD or a Professional Doctorate, and should change program immediately.

4. Termination of Candidature

Minimum Requirements for the Confirmation of Candidature

Confirmation of candidature requires at a minimum:

- Satisfactory completion of all the preceding milestones, including:
 - The Adelaide Graduate Centre Induction Program;
 - The Core Component of the Structured Program;
 - The Integrated Bridging Program (IBP) where applicable
- Submission of an updated CaRST Development Plan and Training Summary (for PhD students commencing from 2017);
- Evidence of completion of all CaRST training activities recorded in an ePortfolio (for PhD students commencing from 2017);
- Regular attendance of the School Seminar Program;
- A minimum of acceptable progress during provisional candidature for all student capability measures, as determined by the Candidature Confirmation Review Panel;
- The generation of a [Gantt chart](#) or equivalent [Completion Plan](#) for the remainder of candidature. The Gantt chart/Completion Plan may be prepared in the format of one of the examples listed on the Adelaide Graduate Centre's web site at:
- www.adelaide.edu.au/graduatecentre/forms/milestones/planning-communicating-tracking/
- A completed risk assessment detailing contingency plans shall be compiled and maintained by the School/Discipline and should detail how to proceed in the event that one or more of the following occur:
 - The research direction(s) pursued prove(s) unsuccessful, or not worth pursuing;
 - Supervisor(s) will be absent e.g. on study leave;
 - Existing skills/experience are inadequate to undertake the planned research (i.e. training is required);
 - Existing resources are inadequate to undertake the planned research;
 - Candidate has taken excessive leave
 - Recommendation for consideration by REDC, together with the completed Major Review Form and student and supervisor's progress reports.

Further Information

Additional information about the Major Review of Progress is available from your Postgraduate Coordinator or from the Adelaide Graduate Centre.

A copy of this form may be obtained on request from the Adelaide Graduate Centre or from the web at:

<http://www.adelaide.edu.au/graduatecentre/forms/milestones/major-review/>

ANNE WITT

Director, Adelaide Graduate Centre

Major Review of Progress for Doctoral Programs

Student Name	
Student ID	
School/Discipline	
Program	
E-mail Address	
Candidature Commencement Date	
Proposed Thesis Title	

A Progress report to be completed by student

Complete this section, detailing your research progress thus far and, for PhD students commencing from 2017, reflecting on your progress with CaRST. Leave the remainder of the form blank. Give the form to your supervisor three weeks prior to your major review meeting. Your supervisor will complete Section B and will circulate this form to all committee members prior to the major review meeting date. At the major review meeting the remainder of the form is to be completed and signed by all the relevant parties.

A.1. One page progress report on research

A.2. One paragraph summary of progress towards completion of the CaRST requirement including a reflection on areas of strength, areas where growth has occurred, and areas prioritised for development (for PhD students commencing from 2017 only).

B Progress report to be completed by supervisor

Complete this section, clearly highlighting the strengths and weaknesses of the candidate and the candidate's research progress and, for PhD students commencing from 2017, a summary of progress towards completion of the CaRST requirement. Leave the remainder of the form blank. Circulate the form to all committee members prior to the major review meeting. At the major review meeting the remainder of the form is to be completed and signed by all the relevant parties.

B.1. One-page research progress report

B.2. One paragraph summary of the candidate's progress to date towards completion of the CaRST requirement including reflection on areas of strength, areas where growth has occurred, and areas prioritised for development (for PhD students commencing from 2017 only).

C. Candidature Confirmation Review Committee Assessment of Progress

1. Has the student attended the Induction Program organised by the Adelaide Graduate Centre?
 Yes No

2. Has the student completed the Core Component of the Structured Program satisfactorily, including attendance at a School induction, completion of the Integrated Bridging Program (where applicable) and lodgement of all relevant documentation with the Adelaide Graduate Centre?
 Yes No

3. During provisional candidature how would you rate the following progress measures? (tick one box per measure)

Student Capability Measures Table	
1 Ability to critically review the literature and prior work	<input type="checkbox"/> Unsatisfactory <input type="checkbox"/> Satisfactory with reservations <input type="checkbox"/> Satisfactory <input type="checkbox"/> Above expectations <input type="checkbox"/> Excellent
2 An adequate knowledge of the field of study	<input type="checkbox"/> Unsatisfactory <input type="checkbox"/> Satisfactory with reservations <input type="checkbox"/> Satisfactory <input type="checkbox"/> Above expectations <input type="checkbox"/> Excellent
3 Capacity to conduct independent research at the standard required for the degree	<input type="checkbox"/> Unsatisfactory <input type="checkbox"/> Satisfactory with reservations <input type="checkbox"/> Satisfactory <input type="checkbox"/> Above expectations <input type="checkbox"/> Excellent
4 Ability to complete the research program within the specified timeframe for the degree (3-3.5 years for PhD)	<input type="checkbox"/> Unsatisfactory <input type="checkbox"/> Satisfactory with reservations <input type="checkbox"/> Satisfactory <input type="checkbox"/> Above expectations <input type="checkbox"/> Excellent
5 Motivation to complete the research program within the specified timeframe for the degree	<input type="checkbox"/> Unsatisfactory <input type="checkbox"/> Satisfactory with reservations <input type="checkbox"/> Satisfactory <input type="checkbox"/> Above expectations <input type="checkbox"/> Excellent
6 Ability to communicate clearly and concisely to various audiences (orally and in writing)	<input type="checkbox"/> Unsatisfactory <input type="checkbox"/> Satisfactory with reservations <input type="checkbox"/> Satisfactory <input type="checkbox"/> Above expectations <input type="checkbox"/> Excellent
7 Ability to complete the CaRST development component of the structured program within the specified timeframe for the degree (3-3.5 years for PhD) (for PhD students commencing from 2017 only)	<input type="checkbox"/> Unsatisfactory <input type="checkbox"/> Satisfactory with reservations <input type="checkbox"/> Satisfactory <input type="checkbox"/> Above expectations <input type="checkbox"/> Excellent

Project Measure	
8 The scope of the research project as detailed in the attached Gantt chart/timeline is realistic	<input type="checkbox"/> Unsatisfactory <input type="checkbox"/> Satisfactory with reservations <input type="checkbox"/> Satisfactory <input type="checkbox"/> Above expectations <input type="checkbox"/> Excellent

Supervision Measure	
9 The goals and expectations of the student and supervisors are well matched	<input type="checkbox"/> Unsatisfactory <input type="checkbox"/> Satisfactory with reservations <input type="checkbox"/> Satisfactory <input type="checkbox"/> Above expectations <input type="checkbox"/> Excellent

D. Report on the Student's Progress

In this section, the Candidature Confirmation Review Committee must report on the student's progress relative to the standard and timeframe required for completion of the degree. Where applicable, details of plans to address any reservations with the student's 'capability' (see measures 1-7 in the Student Capability Measures Table) should be provided.

(Attach additional pages if required)

E. Risks to Timely Completion Due to the Scope of the Project

1. Scope of the Project

In the case of a risk to timely completion due to the scope of the project, the Committee must provide details of how the issue will be addressed:

2. Supervision Issues

In the case of a risk to timely completion due to supervisory issues e.g. the expectations of the student and the supervisors are not well matched, or a supervisor will be leaving the University, the Committee must provide details of how the issue(s) will be addressed:

3. CaRST Issues (for PhD students commencing from 2017 only)

In the case of a risk to timely completion due to issues with completing the CaRST Development Component of the Structured Program e.g. the student has not completed a satisfactory number of hours or has not engaged with CaRST, the Committee must provide details of how the issue(s) will be addressed:

F. Extension of Provisional Candidature Recommendation

Where the Committee has recommended an extension of provisional candidature, details of the milestones to be completed by the student must be recorded (attach additional pages if required):

G. Termination of Candidature Recommendation

Where the Committee has recommended termination of candidature, the issues leading to this recommendation, together with the measures previously undertaken to address unsatisfactory progress must be detailed:

Candidature Committee Review Panel Recommendation to REDC

Please refer to the Guidelines below in italics when completing this section.

1. Confirmation of Candidature

- A *Completion expected within 2 - 3 years from commencement*

With reference to the Student Capability Measures Table, select this recommendation for a mixture of 'Excellent' and/or 'Above Expectations' measures, where all other requirements were met.

- B *Completion expected within 4 years from commencement*

With reference to the Student Capability Measures Table, select this recommendation for a mixture of 'Satisfactory' – 'Excellent' measures, where all other requirements were met.

2. Extend Provisional Status for a period of 3 – 6 months

With reference to the Student Capability Measures Table, select this recommendation where the following apply:

- (i) one (only) Student Capability Measure was rated 'Unsatisfactory'; and/or*
- (ii) one or more Student Capability Measures were rated 'Satisfactory with Reservations', and/or*
- (iii) progress is not consistent with completion of the degree within 4 years from commencement.*

A recommendation to extend provisional status indicates the student has made progress but is not yet ready for confirmation. The Candidature Confirmation Review Committee will make a final recommendation following a further review at the end of the extended provisional candidature period.

We recommend an extension of provisional candidature for:

- Three months
- Four months
- Five months
- Six months

During the period of extended provisional candidature, the candidate will be required to satisfactorily complete the milestones detailed in Section F above.

3 **Transfer**

With reference to the Student Capability Measures Table, this recommendation should be used where the Committee considers the candidate to be unsuited to research at doctoral level as evidenced by ratings that include a number of 'Satisfactory with reservations' and up to two 'Unsatisfactory' ratings for the Student Capability Measures.

The candidate should be directed to transfer to a Master by Research program immediately. This recommendation should be accompanied by an application to transfer candidature from a PhD to a Masters:

<http://adelaide.edu.au/graduatecentre/forms/candidature-management/>

4. **Termination of Candidature**

With reference to the Student Capability Measures Table, this recommendation must be used where three or more student capability measures have been rated as 'Unsatisfactory'.

Chair of Candidature Review Panel
(normally the PGC) name

Sign here

Date

Principal Supervisor name

Sign here

Date

Co/External Supervisor name

Sign here

Date

Co/External Supervisor name

Sign here

Date

Head of School or Discipline name

Sign here

Date

Senior School Academic Staff Member name†

Sign here†

Date

External Discipline Expert name††

Sign here††

Date

NB. The Chair of the Candidature Review Panel (normally the Postgraduate Coordinator) should sign this form, together with ALL of the student's supervisors.

Where the Postgraduate Coordinator is a supervisor, he or she is ineligible to be the Chair of the Candidature Review Panel and another Chair must be appointed. Where the Head of School/Discipline is the Chair, (s)he cannot also sign as the Head, therefore another senior member of the Academic Staff of the School, who is not a supervisor, should sign the form on the sixth signature line†; the Head signature line will remain blank.

If an external Discipline Expert is a member of the Committee he or she may sign the form††.

Student's Certification and Assessment of Progress

- I certify that I:
- have attached a [GANTT chart/timeline](#) detailing my research plan from candidature commencement to completion of my thesis, and
- have attached a CaRST Development Plan and Training Summary (for PhD students commencing from 2017), and
- have uploaded evidence of all CaRST training activities to my ePortfolio and have provided the link to my ePortfolio in my Development Plan (for PhD students commencing from 2017), and
- agree with the Candidature Committee Review Panel's assessment of my progress and recommendation, and
- (if applicable) agree with the milestones set for completion during the extended period of my provisional status.
- Note: If you disagree with your School/Discipline's assessment and/or recommendation, you may attach a confidential report to this form for the attention of the Dean of Graduate Studies. A confidential meeting with the Dean of Graduate Studies may also be requested. Refer to the Unsatisfactory Academic Progress section of the Research Student Handbook for further information.

Student Sign here

Date

Student Name

Office Use Only

Approval by Higher Degrees Committee Convenor

- I endorse the recommendation*
- I do not endorse the recommendation and have attached a statement outlining my reasons.*

Convenor, Faculty Higher Degrees Committee - Sign here

Date

Approval by Career and Research Skills Training (CaRST) Team

For PhD students commencing from 2017 only, I approve/do not approve:

- The CaRST Development Plan*
- The CaRST Training Summary*
- The CaRST ePortfolio*

I wish to make the following comments:

CaRST Officer - Sign here

Date

Approval by Dean of Graduate Studies

- Recommendation approved/not approved*
- Recommend referral to Student Matters Sub-Committee*

Dean of Graduate Studies - Sign here

Date

Comments

Further Information

Ph: (08) 8313 5882

Fax: (08) 8313 5725

Email: graduatecentre@adelaide.edu.au

Web: www.adelaide.edu.au/graduatecentre