# **Privacy Policy**



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#### **OVERVIEW**

The University respects the privacy of individuals and is committed to the collection, use, disclosure and management of, and provision of access to, Personal Information in a manner consistent with the standards contained in the Commonwealth *Privacy Act 1988* (the Privacy Act) and the Australian Privacy Principles.

## **SCOPE AND APPLICATION**

This Policy applies to all areas of the University and all University activities. All employees, titleholders, volunteers, consultants, contractors and agents of the University must comply with this Policy and the Privacy Management Plan when collecting Personal Information on the University's behalf and when using or dealing with Personal Information in the University's possession. Failure to comply with this Policy or the Privacy Management Plan may constitute misconduct and may result in disciplinary action being taken by the University.

#### **POLICY PRINCIPLES**

## 1. The University's approach to collection of Personal Information

- (a) The University will not collect Personal Information unless the information is reasonably necessary, or directly related to, one or more of the University's functions or activities.
- (b) The University will not collect Sensitive Information unless:
  - (i) with the individual's consent; or
  - (ii) if required or authorised by Australian law or court/tribunal order; or
  - (iii) an exemption exists under the Privacy Act.
- (c) The University will collect Personal Information by lawful and fair means and, where possible, directly from the individual. The University collects Personal Information in a number of ways including:
  - (i) from correspondence and submitted forms (including via on-line portals);
  - (ii) as part of any enrolment, registration or subscription process;
  - (iii) in the course of undertaking research;
  - (iv) direct contact in the course of providing services or administration of University activities;
  - (v) from third parties with which the University collaborates;
  - (vi) from the University's monitoring of its IT facilities and services (as stated in the University's IT Acceptable Use and Security Policy);
  - (vii) from CCTV cameras on University premises.
- (d) Personal Information collected by the University may be held in hardcopy format, or electronic format stored on the University's computing equipment or on third party servers.
- (e) At or before the time (or, if that is not practicable, as soon as practicable after) the University collects Personal Information about an individual, the University will take reasonable steps to provide a Privacy Statement to the individual.
- (f) If the University receives unsolicited Personal Information, and the University has no lawful basis on which to retain the information, the University will destroy that information or ensure that it is de-identified.

- (g) The University will provide individuals with the option of not identifying themselves, or of using a pseudonym, when dealing with the University, except where:
  - (i) the University is required or authorised by Australian law or a court/tribunal order, to deal with individuals who have identified themselves; or
  - (ii) it is impracticable for the University to deal with individuals who have not identified themselves or who have used a pseudonym.

#### 2. How the University may use and disclose Personal Information

- (a) The purposes for which the University collects, uses and discloses Personal Information will vary depending on the nature of the association between the individual and the University.
  - (i) Personal Information of students

The University may use this information in assessing admission applications; administration of a student's course of study (including enrolment, scholarships, prizes, timetabling, visa requirements); delivery of teaching, educational resources, email and other services related to the student's enrolment at the University; monitoring a student's progress in their course of study; fulfilling external reporting requirements; internal planning and development; management of health, safety and wellbeing; communicating to students about the University and other parties or activities relevant to the student's course of study; administering University Council electoral rolls.

Photographs of students taken in the course of a University activity may be published by the University for informational, marketing and promotional purposes.

(ii) Personal Information of prospective students

The University may use this information to provide prospective students with information about the University; assess admission applications; undertake internal planning and development.

(iii) Personal Information of employees, job applicants, contractors, volunteers or titleholders

The University may use this information in assessing applications; administration and management of the employee, contractor, volunteer or titleholder; management of health, safety and wellbeing; fulfilling external reporting requirements; internal planning and development; creating a publicly available University staff contact directory; administering University Council electoral rolls.

In the case of employees and applicants who agree to be added to the University's recruitment database, the information may be used for follow up contact for future job vacancies.

University personnel names and expertise, and photographs of University personnel taken in the course of a University activity may be published by the University for informational, marketing and promotional purposes.

#### (iv) Personal Information of alumni and donors

The University may use this information to maintain communication and promote University activities and events; undertake fundraising; publicly acknowledge donors (unless otherwise requested by the donor); administer University Council electoral rolls; internal planning and development; profile building to evaluate prospective donors.

The names of all graduates and their conferred awards will be published in graduation booklets and on the University's graduate roll.

- (v) Personal Information of research participants Subject to any human research ethics committee restrictions, the University may use this information for research purposes; follow up contact for future related projects.
- (vi) Personal Information of clients of health or counselling services offered by the University
   The University uses this information to provide health or counselling services. In the case of students who use Disability Services, Personal Information (including
  - The University uses this information to provide health or counselling services. In the case of students who use Disability Services, Personal Information (including health information) will be used to assess and respond to requests by the student for additional support or adjustments.
- (vii) Personal Information of customers, users or attendees of University facilities, services, events or activities

  The University may use this information for the provision of the facilities or services; administration and monitoring of the use or attendance; internal planning and development; ensuring the security of University facilities or premises; promoting other University events or activities
- (b) If the University collects or discloses Personal Information other than for those purposes stated above, such other purposes will be notified to the individual in a Privacy Statement.
- (c) Other than the purposes stated above or in a Privacy Statement, the University will only use or disclose Personal Information for purposes which are in reasonable contemplation or are permitted under the Privacy Act.
- (d) The University may disclose Personal Information to the following types of third parties:
  - (i) Government departments and agencies to satisfy reporting requirements;
  - (ii) the University's Controlled Entities, to the extent such Personal Information is required by the Controlled Entity to provide services to the University or undertake activities for the University;
  - (iii) external service providers, to the extent such Personal Information is required for the service provider to provide services to the University (e.g. mailing house services; email services; externally hosted software and databases; surveys);
  - (iv) collaborating parties, to the extent such Personal Information is required for the collaborative activity to be undertaken (e.g. collaborative research; jointly delivered courses or programs; vocational placements).
- (e) Some third parties to whom the University discloses Personal Information may be located outside of Australia. Appendix 1 to this Policy lists the countries in which recipients of the disclosed Personal Information may be located.
- (f) If the University discloses Personal Information to an overseas recipient, the University will:
  - enter into a contract with the overseas recipient that binds the overseas recipient to privacy obligations that are consistent with the Australian Privacy Principles; or
  - (ii) ensure that the overseas recipient is subject to a law or binding scheme that has the effect of protecting the Personal Information in a way that, overall, is at least substantially similar to the way in which the Australian Privacy Principles protect the information, and that individuals are able to access mechanisms to enforce the protection of the law or binding scheme; or
  - (iii) obtain express consent of the individual to the disclosure of their Personal Information to the overseas entity.
- (g) The University will not use Personal Information for the purpose of direct marketing unless such use is contemplated under Policy Principle 2(a) or the University has obtained consent from the individual. The area of the University issuing the direct marketing will ensure the direct marketing communication contains a simple means by which the individual may easily opt out of receiving direct marketing communications from that area of the University.

## 3. How the University will manage Personal Information

- (a) The University will take such steps as are reasonable in the circumstances to:
  - (i) ensure that Personal Information it collects is accurate, up-to-date and complete;
  - (ii) ensure that Personal Information the University uses or discloses is, having regard to the purpose of the use or disclosure, accurate, up-to-date, complete and relevant;
  - (iii) protect Personal Information in its possession from misuse, interference, loss, and unauthorised access, modification or disclosure;
  - (iv) destroy or de-identify Personal Information if the Personal Information is no longer needed or required to be retained under any law, regulation or code applicable to the University.

#### 4. How individuals may seek access to or correction of Personal Information

- (a) The University will, upon request by an individual, give the individual access to Personal Information about them held by the University, unless the University has a legitimate reason for refusal.
- (b) The procedure for employees, titleholders and students to request access is set out in the Privacy Management Plan.
- (c) Other individuals who desire access to Personal Information about themselves held by the University may submit a request to the Freedom of Information Officer, The University of Adelaide, South Australia 5005 or email to archives@adelaide.edu.au. The Freedom of Information Officer will process requests in accordance with the Freedom of Information Policy. In some cases, the Freedom of Information Officer may request that individual submits a formal application under the Freedom of Information Act 1991 (SA).
- (d) The University encourages University Personnel, students and other individuals with whom the University has regular dealings to notify the University of any changes to their Personal Information. If individuals do not disclose changes or update their Personal Information, this may affect the University's ability to administer records or provide services for those individuals.
- (e) The University encourages employees, students and alumni to use self-serve systems provided by the University (e.g. Staff Services Online, Access Adelaide, Adelaide OnLion) to update their Personal Information. Other individuals may submit a request to the University to correct or update Personal Information about them held by the University. Requests must be submitted as follows:

Requestor	Submit request to:	
Student	Student Administrative Services	
Employee / Titleholder	Human Resources	
Research participant	The relevant researcher	
Alumni or Donors	University Engagement Branch	
Others	The area of the University to which the individual provided their Personal Information	

(f) The University will respond to requests for correction within a reasonable period after the request is made and will not impose any charges for the request. If the University refuses to make the requested correction, the University will provide the individual with a written notice setting out the reasons for refusal. Individuals who are dissatisfied with the decision may apply in writing for a review. Requests for review will be referred to the relevant Deputy Vice-Chancellor or Vice-President.

## 5. Breaches and Complaints

- (a) University Personnel who become aware of any breach of this Policy must report the matter to the Manager, Compliance in Legal and Risk. Where there has been any loss or unauthorised access, use, modification, disclosure or other misuse of Personal Information ("data breach"), University Personnel must follow the data breach procedures contained in the Privacy Management Plan.
- (b) If an individual believes that their Personal Information has not been handled by the University in accordance with this Policy, the individual may make a complaint in writing or by email to:

Manager, Compliance Legal and Risk The University of Adelaide SA 5005

email: helpdesklegal@adelaide.edu.au

- (c) In order to enable prompt processing, individuals are encouraged to lodge complaints within six months of the individual becoming aware of the conduct the subject of the complaint.
- (d) Complaints will be processed in a reasonable time (usually 30 days from the date on which the complaint was received). Individuals will be advised in writing of the University's decision and any action taken.
- (e) Staff or students of the University who are dissatisfied with the decision or action taken pursuant to Policy Principle 5(d) may lodge a further complaint under the Complaints by Staff Policy & Procedure or Student Grievance Resolution Process respectively.
- (f) The University will comply with any applicable mandatory data breach notification requirements.

#### **Authorities**

Key	Authority Category	Authority	Delegation Holder	Limits
4(d)		Review refusal to make corrections to Personal	Deputy Vice- Chancellor / Vice-	
		Information	President	

#### **PROCEDURES**

## Responsibility: All University Personnel

The Privacy Management Plan contains procedures and guidelines on how these Policy principles should be applied. All University Personnel must comply with the Privacy Management Plan.

## **DEFINITIONS**

Australian Privacy Principles are contained in the Privacy Act.

**Controlled Entity** has the same meaning as in the University's *University-Owned Entities Policy*.

**Personal Information** is defined in the *Privacy Act 1988* (Cth) as 'information or an opinion about an identified individual, or an individual who is reasonably identifiable, whether true or not and whether recorded in a material form or not.' The types of Personal Information that the University collects and holds will depend on the circumstance and relationship between the individual and the University. Personal Information that is commonly collected by the University includes:

- (a) name
- (b) address (residential, postal and email)
- (c) phone number
- (d) date of birth

- (e) gender
- (f) ethnic origin
- (g) passport number
- (h) banking and credit card details
- (i) tax file number
- (j) health information
- (k) emergency contact details
- (I) photographs or video recordings (including CCTV footage)
- (m)criminal history
- (n) academic record
- (o) IT access logs
- (p) records of donations and transactions

Privacy Act means the Privacy Act 1988 (Cth).

**Privacy Statement** means a notification to an individual at or before the time (or, if that is not practicable, as soon as practicable after) the University collects Personal Information, that addresses the following points, as are reasonable in the circumstances:

- (a) the full name of the University and the contact details of the area of the University responsible for the collection of the individual's Personal Information;
- (b) the purposes for which the individual's Personal Information is collected;
- (c) any law that requires the individual's Personal Information to be collected;
- (d) any third parties to which the University may disclose the individual's Personal Information and whether any such party is located overseas;
- (e) any consequences for the individual if all or part of the Personal Information is not provided;
- (f) that the University's Privacy Policy is available on the University's website.

## Sensitive Information is defined in the Privacy Act 1988 (Cth) as:

- (a) information or an opinion about an individual's:
  - (i) racial or ethnic origin; or
  - (ii) political opinions; or
  - (iii) membership of a political association; or
  - (iv) religious beliefs or affiliations; or
  - (v) philosophical beliefs: or
  - (vi) membership of a professional or trade association; or
  - (vii) membership of a trade union; or
  - (viii) sexual orientation or practices; or
  - (ix) criminal record

that is also Personal Information;

- (b) health information about an individual; or
- (c) genetic information about an individual that is not otherwise health information; or
- (d) biometric information that is to be used for the purpose of automated biometric verification or biometric identification; or
- (e) biometric templates.

**University Personnel** means employees, titleholders, consultants, contractors and volunteers.

## APPENDIX 1 Locations of overseas recipients of Personal Information (refer Policy Principle 2.5)

United States of America United Kingdom Singapore

RMO File/Document Number	2044/0220 02	
	2011/8330-02	
Policy Custodian	Vice-President (Services & Resources)	
Responsible Officer	General Counsel	
Endorsed by	VCE on 2 July 2014	
(Academic Board or VCC)		
Approved by	Vice-Chancellor and President on 16 July 2014	
Related Documents and	ated Documents and Privacy Management Plan	
Policies	Records Management Policy	
	Freedom of Information Policy	
	IT Acceptable Use and Security Policy	
	Responsible Conduct of Research Policy	
Related Legislation	Privacy Act 1988 (Cth)	
	South Australian Cabinet Administrative Instruction 1/89	
	(Information Privacy Principles Instruction)	
	Tax File Number Guidelines 2011	
	Freedom of Information Act 1991 (SA)	
Superseded Policies		
Date Effective	16 July 2014	
Next Review Date	31 July 2017	
Contact for queries about the Policy	Contact Legal and Risk by email: helpdesklegal@adelaide.edu.au	