

# Responsible Conduct of Research Policy

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## OVERVIEW

This Policy formally adopts the principles embodied in the Australian Code for the Responsible Conduct of Research [the Code] and needs to be read in conjunction with the Code:

<https://www.nhmrc.gov.au/guidelines-publications/r39> This Policy is predicated on the principle that researchers associated with the University are committed to high standards of professional conduct, and that they will ensure their work enhances the good name of the University and the profession to which they belong. Like the Code it adopts, the Policy simply formalises principles that naturally flow from such a commitment to excellence.

## SCOPE AND APPLICATION

This Policy applies to all staff, students and affiliates of the University of Adelaide who are involved in the conduct of research associated with the University. In this regard, we accept the Code definition of research as 'original investigation undertaken to gain knowledge, understanding and insight'. Compliance with the principles outlined in the Code is a requirement for the receipt of funding from the Australian Research Council and the National Health and Medical Research Council, thus application of the Code is crucial to the ongoing research viability of the University<sup>1</sup>. This Policy must be read in conjunction with the Code.

Implementation of this policy will be carried out in accordance with the University's Code of Conduct and the Academic Board Statement on Undue Influence. Any attempts to improperly exert pressure or influence actions or decisions made pursuant to this policy must be reported in accordance with the Fraud and Corruption Control Policy.

## POLICY PRINCIPLES

1. The University endorses and adopts the general principles of responsible research outlined in the Code. The University broadly endorses and adopts the practices outlined in the Code as a guide to good practice.
2. All researchers associated with the University, whether staff, students or affiliates, are expected to:
  - a. read and be familiar with the content of the Code;
  - b. conduct their research in a manner consistent with the general principles outlined in the Code; and
  - c. conduct their research in compliance with other legal or regulatory requirements, this Policy and any University procedures or guidelines relevant to their field of research.
3. University managers and researchers must ensure, to the best of their ability, that University procedures, guidelines and processes are consistent with practices recommended in the Code, and that the Code informs the development and implementation of University procedures and guidelines related to the conduct of research.
4. All allegations of research misconduct will be dealt with in a fair, transparent and serious manner, and in accordance with the University's Enterprise Agreement, the '[Research Misconduct Procedure](#)', and the '[Student Misconduct Rules](#)'.

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<sup>1</sup> For funding received from the United States Public Health Services (PHS), compliance with the PHS Policies on Research Misconduct [42CFR Part 93](#) is also required. The University compiles an annual report on research misconduct within PHS awards to the US Office of Research Integrity (ORI), however on notification of any research misconduct within PHS awards the ORI will be notified immediately.

<b>RMO File No.</b>	2017/2263
<b>Policy custodian</b>	Provost and Deputy Vice-Chancellor and Vice-President (Research)
<b>Responsible policy officer</b>	Executive Director, Research Services
<b>Endorsed by</b>	Academic Board on 5 August 2009
<b>Approved by</b>	Vice-Chancellor and President on 14 August 2009
<b>Related Policies</b>	<p>The Australian Code for the Responsible Conduct of Research  The University of Adelaide Enterprise Agreement 2014-2017 (as amended or replaced)  Authorship Policy  Behaviour and Conduct Policy  Funding from the Tobacco Industry Policy  Intellectual Property Policy  Procedure for Managing Complaints of Research Misconduct/Serious Misconduct and Breaches of the Code  Research Data and Primary Materials Policy  Research Student Handbook  Supervisor Register Policy</p>
<b>Related legislation</b>	Refer to <a href="http://www.adelaide.edu.au/research-services/oreci/integrity/">http://www.adelaide.edu.au/research-services/oreci/integrity/</a> State Records Act 1997
<b>Superseded Policies</b>	Guidelines and Rules for Responsible Practice in Research
<b>Effective from</b>	16 February 2017
<b>Review Date</b>	15 February 2020
<b>Contact for queries about the policy</b>	Executive Director, Research Services, tel: 8313 5551